

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): P.M. Dantzig et al.
Docket No.: YOR920030580US1
Serial No.: 10/789,087
Filing Date: February 27, 2004
Group: 2454
Examiner: Jinsong Hu

Patent No.: 7,631,081
Issued: December 8, 2009

Title: Method and Apparatus for Hierarchal Selective Personalization

REQUEST FOR CERTIFICATE OF CORRECTION OF
PATENT FOR PTO MISTAKE UNDER 37 C.F.R. §1.322(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Certificate of Corrections Branch

Sir:

In accordance with 37 C.F.R. §1.322(a), Applicants hereby request the issuance of a Certificate of Correction with respect to an error in the above-identified patent.

The error is set forth on the proposed Certificate of Correction (PTO-1050) submitted herewith.

The error is a Patent Office mistake, namely typographical errors. Accordingly, this certificate should be issued pursuant to 37 C.F.R. §1.322(a).

The error is in the spelling of the last name of the third inventor, Arun Kwangil Iyengar. Support for the correct spelling can be found in the accompanying copy of the Declaration and Transmittal Letter that were originally filed with the present application.

It is believed that there is no additional fee due in conjunction with this Request for Certificate of Correction. In the event of any non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or to credit **Ryan, Mason & Lewis, LLP** **Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Will E. Lewis". The signature is fluid and cursive, with the first name "Will" and last name "Lewis" clearly distinguishable.

Date: November 1, 2011

William E. Lewis
Reg. No. 39,274
Attorney for Applicant(s)
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560
(516) 759-2946

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,631,081
APPLICATION NO.: 10/789,087
ISSUE DATE : December 8, 2009
INVENTOR(S) : P.M. Dantzig et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page:

On page 1, in (75), please change "Arun Kwangil Ivengar" to --Arun Kwangil Iyengar--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

EL998953520US

PATENT APPLICATION

APPLICANT(S): Paul M. Dantzig
Daniel M. Dias
Arun Kwangil Iyengar
Philip Shi-Lung Yu

"Express Mail" Label No. EL998953520US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: February 27, 2004

Signature: V. Benvenuti

ASSIGNEE
NAME: International Business
Machines Corporation

ASSIGNEE
RESIDENCE: Armonk, New York

TITLE: Method and Apparatus for Hierarchical
Selective Personalization

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

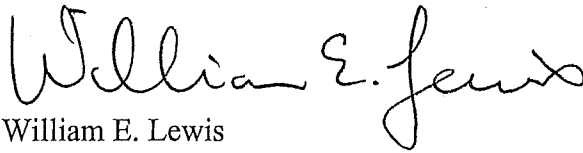
Enclosed are the following papers relating to the above-named application for patent:

Application - 12 pages of Specification, 5 pages of Claims and 1 page of Abstract
3 Sheets of informal drawing(s)
Declaration and Power of Attorney

CLAIMS AS FILED				
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
Total Claims	20-20 =	0	x \$18 =	\$0
Independent Claims	7-3 =	4	x \$86 =	\$344
Multiple Dependent Claim(s), if applicable			\$290 =	\$0
Basic Fee				\$770
TOTAL FEE:				\$1114

Please file the application and charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$1114, to cover the filing fee. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. Duplicate copies of this letter are enclosed. Please address all correspondence to: **Ryan, Mason & Lewis, LLP, 90 Forest Avenue, Locust Valley, New York 11560**. Telephone calls should be made to the undersigned attorney at (516) 759-2946.

Respectfully,

A handwritten signature in black ink, appearing to read "William E. Lewis", with a stylized flourish at the end.

Date: February 27, 2004

William E. Lewis
Reg. No. 39,274
Attorney for Applicant(s)
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, New York 11560

DECLARATION

AS A BELOW NAMED INVENTOR, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe that I am the original, first and sole (*if only one name is listed below*), or an original, first and joint inventor (*if plural names are listed below*), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE: METHOD AND APPARATUS FOR HIERARCHICAL SELECTIVE PERSONALIZATION

the specification of which is attached hereto or indicates an attorney docket no. YOR920030580US1, or:

☐ was filed in the U.S. Patent & Trademark Office on _____ and assigned Serial No. _____,

☐ and (*if applicable*) was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, or §119(e) of any United States provisional application(s), listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed:

Yes [] No []

(Application Number) (Country) (Day/Month/Year filed)

Yes [] No []

(Application Number) (Country) (Day/Month/Year filed)

I hereby claim the benefit under Title 35, U.S. Code §120, of any United States application(s), or §365(c), of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International applications(s) in the manner provided by the first paragraph of Title 35, U.S. Code §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial Number) (Filing Date) (STATUS: patented, pending, abandoned)

(Application Serial Number) (Filing Date) (STATUS: patented, pending, abandoned)

I hereby appoint the following attorneys: **RICHARD M. LUDWIN**, Reg. No. 33,010; **KEVIN M. JORDAN**, Reg. No. 40,277; **CHRISTOPHER A. HUGHES**, Reg. No. 26,914; **JOHNE E. HOEL**, Reg. No. 26,279; **JOSEPH C. REDMOND, JR.**, Reg. No. 18,753; **DOUGLAS W. CAMERON**, Reg. No. 31,596; **WAN YEE CHEUNG**, Reg. No. 42,410; **THU ANN DANG**, Reg. No. 41,544; **LOUIS P. HERZBERG**, Reg. No. 41,500; **DEREK S. JENNINGS**, Reg. No. 31,473; **STEPHEN C. KAUFMAN**, Reg. No. 29,551; **ALISON D. MORTINGER**, Reg. No. 39,306; **DANIEL P. MORRIS**, Reg. No. 32,053; **LOUIS J. PERCELLO**, Reg. No. 33,206; **ROBERT M. TREPP**, Reg. No. 25,933; **GAIL H. ZARICK**, Reg. No. 43,303; and **RAFAEL PEREZ-PINEIRO**, Reg. No. 46,041; and each of them of **INTERNATIONAL BUSINESS MACHINES CORPORATION**, Thomas J. Watson Research Center, P.O. Box 218, Yorktown Heights, New York 10598; to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

William E. Lewis
RYAN, MASON & LEWIS, LLP
 90 Forest Avenue
 Locust Valley, NY 11560
 Tel.: (516) 759-2946


I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OR SOLE INVENTOR: Paul M. Dantzig Citizenship U.S.A.

Inventor's signature:  Date: 2/27/04

Residence & Post Office address: 116 Old Army Road
Scarsdale, NY 10583

FULL NAME OF SECOND JOINT INVENTOR: Daniel M. Dias Citizenship U.S.A.

Inventor's signature:  Date: 2/27/04

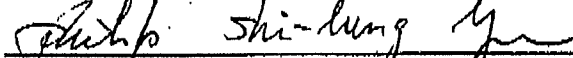
Residence & Post Office address: 3380 Sunny Court
Mohegan Lake, NY 10547

FULL NAME OF THIRD JOINT INVENTOR: Arun Kwangil Ivengar Citizenship U.S.A.

Inventor's signature:  Date: 2/27/04

Residence & Post Office address: 1160 Park Lane
Yorktown Heights, NY 10598

FULL NAME OF FOURTH JOINT INVENTOR: Philip Shi-Lung Yu Citizenship U.S.A.

Inventor's signature:  Date: 2/27/04

Residence & Post Office address: 18 Stornoway
Chappaqua, NY 10514